



PUBLIC ACCOUNTS AND ESTIMATES COMMITTEE

2016-17 FINANCIAL AND PERFORMANCE OUTCOMES
GENERAL QUESTIONNAIRE

TRANSPORT ACCIDENT COMMISSION

Your responses should be filled in the tables/text boxes under each question in the MS Word document only.

Additional responses to questions can be provided in MS Word or MS Excel documents if required.

The completed MS Word document, including any additional documents, should be emailed to paec@parliament.vic.gov.au

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SECTION A: Output variances and program outcomes

Question 1 (all departments) Completed initiatives from past budgets

This question does not apply to your agency.

Question 2 (departments only) Treasurer's advances and other budget supplementation

This question does not apply to your agency.

Question 3 (DTF only) Revenue certification

This question does not apply to your agency.

SECTION B: Asset investment

Question 4 (all departments) Details of actual investment and variance against budget – current projects

This question does not apply to your agency.

Question 5 (all departments) Details of actual investment and variance against budget – projects completed (or expected to be completed)

This question does not apply to your agency.

Question 6 (all departments) Major Projects Victoria / Development Victoria

This question does not apply to your agency.

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Question 7 (all departments) High-value high-risk projects and gateway reviews

This question does not apply to your agency.

Question 8 (all departments) PPP expenditure and line items

This question does not apply to your agency.

Question 9 (all departments) PPP projects in procurement

This question does not apply to your agency.

Question 10 (all departments) PPP projects under construction

This question does not apply to your agency.

Question 11 (all departments) PPP projects commissioned during 2016-17

This question does not apply to your agency.

Question 12 (DTF only) Net cash flows from investments in financial assets for policy purposes – GGS

This question does not apply to your agency.

Question 13 (DTF only) Purchases of non-financial assets – government purpose classification

This question does not apply to your agency.

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SECTION C: Revenue and appropriations

Question 14 (all departments and entities) Changes from previous year by revenue/income category

Please explain any changes greater than ± 10 per cent or \$100 million between the actual result for 2015-16 and the actual result for 2016-17 for each revenue/income category detailed in your operating statement. Please also indicate what any additional revenue was used for or how any reduced amounts of revenue affected service delivery.

For departments, please provide data consolidated on the same basis as the budget portfolios outcomes statement in your annual reports.

If there were no revenue/income categories for your department/agency for which the 2016-17 expenditure changed from the prior year's expenditure by more than ± 10 per cent or \$100 million, you do not need to answer this question. If this is the case, please indicate 'no relevant line items' in the table(s) below.

Revenue category	2015-16 actual (\$ million)	2016-17 actual (\$ million)	Explanations for changes greater than ± 10 per cent or \$100 million	How the additional revenue was used/the impact of reduced revenue
Premium Revenue	\$1,663	\$1,729	n/a	n/a
Investment Revenue	\$338	\$1,404	The TAC investment portfolio recorded a positive return of 11.82% for 2016-17 compared to 2.97% for the previous year as a result of strong global growth, positive corporate earnings and rising AUD.	Positive impact on the operating result.

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Question 15 (all departments and entities) Variances from budget/target by revenue/income category

Please explain any variances greater than ± 10 per cent or \$100 million between the initial budget estimate (**not** the revised estimate) and the actual result for 2016-17 for each revenue/income category detailed in your operating statement. Please also identify any actions taken in response to the variations, either to mitigate or take advantage of the impact.

For departments, please provide data consolidated on the same basis as the budget portfolios outcomes statement in your annual reports.

If there were no revenue/income categories for your department/agency for which the 2016-17 expenditure varied from the initial budget estimate by more than ± 10 per cent or \$100 million, you do not need to answer this question. If this is the case, please indicate ‘no relevant line items’ in the table(s) below.

Revenue category	2016-17 budget estimate (\$ million)	2016-17 actual (\$ million)	Explanations for variances greater than ± 10 per cent or \$100 million	Actions taken in response
Premium Revenue	\$1,720	\$1,729	n/a	n/a
Investment Revenue	\$879	\$1,404	The TAC investment portfolio recorded a positive return of 11.82% for 2016-17 compared to a budget return of 7.5% as a result of strong global growth, positive corporate earnings and rising AUD.	n/a

Question 16 (DTF only) Revenue initiatives

This question does not apply to your agency.

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SECTION D: Expenses

Question 17 (all departments and entities) Expenses changed from previous year

Please explain any changes greater than ± 10 per cent or \$100 million between the prior year's actual result and the actual result for 2016-17 for each category of expenses detailed in your operating statement. Please also detail the outcomes in the community¹ achieved by any additional expenses or the impact on the community of reduced expenses (if there was no impact, please explain how that was achieved).

For departments, please provide data consolidated on the same basis as the budget portfolios outcomes statement in your annual reports.

If there were no categories of expenses for your department/agency for which the 2015-16 expenditure differed from the prior year's expenditure by more than ± 10 per cent or \$100 million, you do not need to answer this question. If this is the case, please indicate 'no relevant line items' in the table(s) below.

Expenses category	2015-16 actual (\$ million)	2016-17 actual (\$ million)	Explanations for variances greater than ± 10 per cent or \$100 million	Outcomes achieved by additional expenses/impact of reduced expenses
Administration	\$156.7	\$165	n/a	n/a
Marketing & Road Safety	\$66.8	\$78.5	Mainly due to: \$6.3 million increase due to increased rollout of road infrastructure projects utilising the Motorcycle Road Safety Levy \$4.8 million additional spend in 2016-17 in relation to the Young Driver Safety Package. This includes increased spend for the development of the Road Safety Education Complex and the Practical Safe Driving Course along with Drug and Booze Bus purchase and extended drug testing programs	Ongoing improvement in road safety for all Victorians, in particular those more vulnerable being motorcyclists and young drivers.
Road	\$53.2	\$118.9	Increase of roll-out of projects (194) under the Safe System	Ongoing improvement to road safety for all Victorians.

¹ That is, the impact of service delivery on the community rather than a description of the services delivered.

Infrastructure			Roads Infrastructure Program (SSRIP)	
Trauma Projects	\$7.4	\$6.2	Decrease in expenditure as a result of some neurotrauma research projects with Institute of Safety, Compensation and Recovery Research (ISCRR) being completed in 2015-16	
Premium Collection Fees	\$40.8	\$42.3	n/a	n/a

Question 18 (all departments and entities) Expenses varying from budget

Please explain any variances greater than ± 10 per cent or \$100 million between the initial budget estimate (**not** the revised budget) and the actual result for 2016-17 for each category of expenses detailed in your operating statement. Please also detail the outcomes in the community² achieved by any additional expenses or the impact on the community of reduced expenses (if there was no impact, please explain how that was achieved).

For departments, please provide data consolidated on the same basis as the budget portfolios outcomes statement in your annual reports.

If there were no categories of expenses for your department/agency for which the 2016-17 expenditure varied from the initial budget estimate by more than ± 10 per cent or \$100 million, you do not need to answer this question. If this is the case, please indicate 'no relevant line items' in the table(s) below.

Expenses category	2016-17 budget estimate (\$ million)	2016-17 actual (\$ million)	Explanations for variances greater than ± 10 per cent or \$100 million	Outcomes achieved by additional expenses/impact of reduced expenses
Administration	\$169.4	\$165	n/a	n/a
Marketing & Road Safety	\$107.1	\$78.5	Actual spend lower than budget estimates mainly due to Young Driver Safety Package: Road Safety Education Complex \$19.7 million due to delay in the commencement of the museum fit-out (these costs have now been assessed as including a portion of capital	Minimal impact, majority of planned expenditure is now expected to occur in 2017/18.

² That is, the impact of service delivery on the community rather than a description of the services delivered.

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			expenditure in 2017/18 and 2018/19) The purchase of a new fleet of Booze and Drug bus or Victoria Police was delayed 8-9 months by the supplier pushing expenditure into 2017/18 (\$4.0 million variance)	
Road Infrastructure	\$208.2	\$118.9	Delays in the planning and approval stages of the road infrastructure projects saw delayed spending in 2016-17.	A large number of projects were approved in 2016-17 which will allow construction to commence, continue and/or complete in 2017/18.
Trauma Projects	\$8.2	\$6.2	Lower spend due to delayed projects with ISCRR of \$770k and an allowance in the budget of \$850k for unplanned research projects which was not utilised during the year	Minimal impact – research projects will continue to run and be translated into outcomes in 2017/18
Premium Collection Fees	\$42.1	\$42.3	n/a	n/a

Question 19 (departments only) Expenditure reduction targets

This question does not apply to your agency.

Question 20 (all departments and entities) Changes to service delivery from expenditure reduction initiatives

- (a) Please detail any changes to your department’s/agency’s service delivery as a result of expenditure reduction initiatives, e.g. changes to the timing and scope of specific programs or discontinued programs in 2016-17.

n/a

- (b) As a result of the expenditure reduction initiative ‘Reduce the use of labour hire firms’ for 2016-17 (2015-16 BP3 p.105), please detail any changes to your department’s/agency’s service delivery.

n/a

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- (c) Please indicate how much the Department spent on 'on hire' arrangements with labour recruitment firms during 2015-16 and 2016-17. On hire arrangements includes the cost of engaging the labour recruiting firm, plus additional costs paid to the labour recruiting firm for the provision of the services of the contractor. Please also indicate reasons for and difference greater than 10 per cent between the two years.

Expenditure on hire arrangements (\$ million)		Explanation for change greater than ± 10 per cent
2015-16	2016-17	
\$3.3	\$3.3	n/a

- (d) Please indicate how much the Department spent on job search assignments where the department has engaged a labour recruitment firm to hire an employee for the department.

Expenditure on job search assignments (\$ million)		Explanation for change greater than ± 10 per cent
2015-16	2016-17	
\$0.3	\$0.3	n/a

Question 21 (departments only) Achievement of reprioritisation of existing resources

This question does not apply to your agency.

Question 22 (DTF only) Expenses by government purpose classifications

This question does not apply to your agency.

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Question 23 (PNFC and PFC entities only) Dividends and other amounts paid to the general government sector

Please detail the value of dividends, non-dividend grants,³ and capital repatriations paid by your agency to the general government sector over the last three years, explaining the reasons for any significant changes over that period and the impact of any changes on the agency. Please also explain the basis on which these figures were set.

	Total amount paid in 2014-15 (\$ million)	Total amount paid in 2015-16 (\$ million)	Total amount paid in 2016-17 (\$ million)	Explanation for any variance greater than ±10 per cent or \$100 million	Impact of changes to dividends on the agency	Basis on which the figure was set
Dividends	\$253.2	\$131.6	\$79.5	<p>In accordance with section 29B of the <i>Transport Accident Act 1986</i>, the TAC is required to pay to the Victorian State Government, a dividend as determined by the Treasurer.</p> <p>An obligation to pay a dividend only arises after a formal determination is made by the Treasurer following consultation between the TAC, the Minister and the Treasurer.</p>	n/a	<p>2014-15 The dividend paid relates to:</p> <ul style="list-style-type: none"> • an ordinary dividend of \$162.5m - relating to the strong PFIO in 2013/14 (+\$325m) based on the dividend benchmark of 50% of PFIO; plus • an interim dividend of \$90.65m – relating to 2014-15 which is based on half of the dividend based on the forecast PFIO calculated at the dividend benchmark – i.e. forecast PFIO for 2014-15 of \$326.6m at dividend benchmark is \$181.3m. Interim is based on 50% of dividend. <p>2015-16 The dividend paid relates</p>

³ This is an amount paid to the general government sector which is unable to be paid as a dividend due to AASB 1023 or FRD 119A.

						<p>to:</p> <ul style="list-style-type: none"> • an ordinary dividend of \$115.9m relating to the 2014-15 financial year and • an interim dividend of \$15.7m relating to the 2015-16 financial year. <p>2016-17</p> <p>Dividend payment was determined by the Treasurer after consultation with the TAC and the responsible Minister.</p>
Non-dividend grants	n/a	n/a	n/a	n/a	n/a	n/a
Capital repatriation payments	n/a	\$78	\$29.5	In accordance with section 29A of the <i>Transport Accident Act 1986</i> , the TAC paid a \$29.5 million repayment of capital (2016: \$78 million) to the Victorian State Government in 2016-17 as determined by the Treasurer after consultation with the TAC and the responsible Minister. The transaction has been recorded as an expense in accordance with FRD 119A <i>Transfers through contributed capital</i> .	n/a	Capital payments are determined by the Treasurer after consultation with the TAC and the responsible Minister.

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SECTION E: Public sector workforce

Question 24 (all departments and entities) Full-time equivalent staff by level

Please fully complete the table below, providing actual FTE staff numbers at 30 June 2015, at 30 June 2016 and 30 June 2017 (broken down by the categories listed below) for the Department. Please provide figures consolidated on the same basis as the expenditure for the Department in the budget papers.

Grade	30 June 2015 (Actual FTE number)	30 June 2016 (Actual FTE number)	30 June 2017 (Actual FTE number)	Comments
Secretary				
EO	57	58.9	60.6	Executives/GSERPs
VPS Grade 7 (STS)				
VPS Grade 6	160.74	167.29	154.33	JG6
VPS Grade 5	138.07	150.29	180.49	JG5
VPS Grade 4	242.85	267.01	300.59	JG4
VPS Grade 3	123.86	146.65	153.58	JG3
VPS Grade 2	40.86	40.19	36.05	JG2
VPS Grade 1	1	0.6		
Government Teaching Service				
Health services				
Police				
Allied health professionals				

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Child protection				
Disability development and support				
Custodial officers				
Other	0.6			
Total	764.98	830.9	885.64	Information includes employees costed to Business Operations, Projects and Other and excludes employees on Long Term Absence, on a long term WorkCover Claim and employees not paid directly by TAC (i.e. Contractors, Consultants, Temps, WSV employees etc) The difference compared to the total provided in the 2016-17 Annual Report, 903.5 FTE, is due to Worksafe employees working in the ITSS collaboration space who are not counted for the purpose of this questionnaire.

Question 25 (all departments and entities) FTE staff numbers by employment type

In the table below, please detail the salary costs for 2014-15, 2015-16 and 2016-17, broken down by ongoing, fixed-term and casual, and explain any variances greater than ±10 per cent or \$100 million between the years for each category.

Employment category	Gross salary 2014-15 (\$ million)	Gross salary 2015-16 (\$ million)	Gross salary 2016-17 (\$ million)	Explanation for any year-on-year variances greater than ±10 per cent or \$100 million
Ongoing	\$76.0	\$75.8	\$80.0	
Fixed-term	\$10.8	\$16.0	\$21.8	Increase in fixed term salaries from 2014-15 to 2015-16 mainly due to ramp up of various projects (e.g. CSP, Protocols, Towards Zero). Increase in fixed term salaries from 2015-16 to 2016-17 mainly due to ramp up of various projects (e.g. CSP, Client Online, Towards Zero).
Casual				

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Total	\$86.8	\$91.8	\$101.8	
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Question 26 (all departments and entities) Executive salary increases and other bonuses

Please detail the number of executives who received increases in their base remuneration in 2016-17, breaking that information down according to what proportion of their salary the increase was, and explaining the reasons for executives' salaries increasing in each bracket.

Increase in base remuneration	Number of executives receiving increases in their base rate of remuneration of this amount in 2016-17, apart from normal increases due to employment agreements.	Reasons for these increases
0-3 per cent	Nil	
3-5 per cent	Nil	
5-10 per cent	2	Promotion and salary benchmarked against market.
10-15 per cent	2	New appointment and salary benchmarked against market.
greater than 15 per cent	2	New appointment and promotion to a Senior Executive role.

Question 27 (DTF only) Enterprise bargain agreements (EBA)

This question does not apply to your agency.

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SECTION F: Government decisions impacting on the finances

Question 28 (all departments and entities) Commonwealth Government decisions

Please identify any Commonwealth Government decisions during 2016-17 which had not been anticipated in the State budget but which impacted on your entity's finances or activities during those years (including new funding agreements, discontinued agreements and changes to funding levels). Please quantify the impact on income and expenses where possible.

Commonwealth Government decision	Impact in 2016-17	
	on income (\$ million)	on expenses (\$ million)
n/a		

Question 29 (all departments and entities) COAG decisions

Please identify any COAG decisions during 2016-17 which had not been anticipated in the State budget but which impacted on your entity's finances or activities during those years (including new funding agreements, discontinued agreements and changes to agreements). Please quantify the impact on income and expenses where possible.

COAG decision	Impact in 2016-17	
	on income (\$ million)	on expenses (\$ million)
n/a		

SECTION G: Economic environment

Question 30 (DTF only) Economic variables

This question does not apply to your agency.

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Question 31 (DTF only) Actual impact on GGS of variances in economic variables

This question does not apply to your agency.

Question 32 (DTF only) Impact on PFC net result of variances in economic variables

This question does not apply to your agency.

Question 33 (DTF only) Impact on PNFC net result of variances in economic variables

This question does not apply to your agency.

Question 34 (all departments and entities) Effects of variances in economic variables

Please identify any key economic variables for which there were variances in 2016-17 between what was estimated in the initial budget for each year (**not** the revised estimate) and what actually occurred which had a significant impact on your department's/agency's finances, service delivery or asset investment. For each variance, please indicate:

- (a) what had been expected at budget time
- (b) what actually occurred
- (c) how the variance impacted on the budget outcomes (quantifying the impact where possible)
- (d) what decisions were made in response (including changes to service delivery, asset investment, borrowings etc.).

Expected economic result in 2016-17	Actual result in 2016-17	Impact of the variance on budget outcomes	Impact of the variance on service delivery
Investment return 7.5%	Investment return 11.82%	Increase in investment revenue and the resulting higher profit after tax.	n/a
The 2016-17 budget assumed a 10 year bond yield of 2.0% in the	The actual 10 year bond yield was 2.6% which equated to a decrease of	Higher profit after tax and reduction in claims liabilities.	n/a

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valuation of claims liabilities	\$1,289 million in claims liabilities		
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SECTION H: DataVic Access

Question 35 (All departments and entities) Department/agency data and research strategy

- a) How is 'big data' and/or the digital research component incorporated within the Department/Agency's Strategic/Corporate Plan?

Data/insights are incorporated in the TAC2020 Strategy as a core enabler. Complete review of all components is under way.

- b) Does the Department/Agency have a dedicated digital research strategy? If yes, please provide a copy of this to the Committee.

This is currently under review.

Question 36 (All departments and entities) Department/Agency use of the DataVic Access

- a) What have been the challenges the Department/Agency has found in implementing the DataVic Access?

Identifying and preparing datasets for release is a challenge with implementing the DataVic Access Policy, particularly where data must be restricted for reasons of privacy, public safety, security and law enforcement, public health and compliance with the law.

- b) What have been the key risks the Department/Agency has identified in relation to DataVic Access and how have these been managed?

Inadvertent TAC release of data which may cause breaches of privacy, confidentiality or commercial TAC restrictions.

Prior to release, all identifying information is removed from datasets, most commonly to protect the privacy of, or prevent the re-identification of, individuals. Identifiers include names, addresses, gender, date of birth or other identifying information.

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c) Who are the major beneficiaries of the data the Department/Agency has made available as a result of the DataVic Access policy?

TAC clients, academics and researchers, professional membership associations, and stakeholders such as Victorian Government Departments and Agencies.

d) The guidelines currently state that the benefits of the policy include:

- stimulating economic activity and driving innovation and new services to the community and business;
- increasing productivity and improving personal and business decision making based on improved access to data;
- improving research outcomes by enabling access to primary data to researchers in a range of disciplines; and
- improving the efficiency and effectiveness of government by encouraging better management practices and use of the data.⁴

Please provide three examples of how the Department/Agency have seen the benefits materialised.

When members of the public ask for large datasets, they can be directed to DataVic to access this for a bulk download.

e) What are the main future opportunities regarding the Department/Agency's data that have not been realised to date?

As above, increased public availability and access to the data.

Question 37 (All departments and entities) Department/Agency arrangements for data access

a) What accountability arrangements are in place within the Department/Agency for implementing DataVic Access?

⁴Department of Treasury and Finance, *DataVic Access Policy Guidelines for the Victorian Public Sector* (2015), p.1

Nil

b) Has the Department/Agency established an Information Management Governance Committee (IMGC)?

This is currently in process.

c) Does the Department/Agency have an information asset register?

The TAC is currently creating an asset register in order to comply with the Victorian Protective Data Security Framework (VPDSF).

d) How regularly is the information asset register reviewed and updated?

As the register is currently being created, this hasn't been established. The register will be in line with the requirements of the VPDSF.

e) Please describe the main types of information assets that are logged on the Department/Agency's register.

Client information including medical information, financial information and general contact information.

Question 38 (All departments and entities) Use of DataVic website

a) How many and which data sets did the Department/Agency make available on the DataVic website in 2015-16 and 2016-17?

2 (Victorian Road Toll & Victorian Serious Road Casualties)

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b) To what extent does the Department/Agency comply with the format guidelines set out in DataVic Access?

TAC complies with the format guidelines set out in DataVic Access by providing data in XML format.

c) Please list the datasets held by the Department/Agency that have been given approved commercialisation authorisation by the Minister for Finance. Please explain why they have been approved for commercialisation. What are the typical costs associated with accessing these datasets?

n/a

d) How many requests has the Department/Agency received via the 'suggest a dataset' function on the DataVic website in 2015-16 and 2017-18?

Nil

e) How many of these requests were successfully actioned in 2015-16 and 2016-17?

n/a

f) How many datasets that were compiled by the Department/Agency as a result of a successful FOI application were subsequently made available on the DataVic website in 2015-16 and 2016-17?

n/a

g) How many datasets that were compiled by the Department/Agency as a result of a successful FOI application were not made available on the DataVic website in 2015-16 and 2016-17 and what were the reasons for this?

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n/a

SECTION I: Treasury Corporation of Victoria

Question 39 (TCV only) Dividends

This question does not apply to your agency.

Question 40 (TCV only) Commodity risk management

This question does not apply to your agency.

Question 41 (TCV only) Foreign exchange risk management

This question does not apply to your agency.

Question 42 (TCV only) Public Private Partnership (PPP) projects

This question does not apply to your agency.

Question 43 (TCV only) Green Bonds

This question does not apply to your agency.

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